

1 DAVID Z. CHESNOFF  
2 Nevada Bar No. 2292  
3 RICHARD A. SCHONFELD  
4 Nevada Bar No. 6815  
5 CHESNOFF & SCHONFELD  
6 520 South Fourth Street  
7 Las Vegas, Nevada 89101  
8 (702) 384-5563

9  
10 Attorneys for Plaintiff  
11 WILLIAM A. RICHARDSON

12 RODNEY ACKER (TX State Bar No. 00830700)  
13 email: rodney.acker@nortonrosefulbright.com  
14 PETER A. STOKES (TX State Bar No. 24028017)  
15 email: peter.stokes@nortonrosefulbright.com  
16 **NORTON ROSE FULBRIGHT US LLP**  
17 2200 Ross Avenue, Suite 3600  
18 Dallas, Texas 75201-27841  
19 Telephone: (214) 855-8000  
20 Facsimile: (214) 892-9494

21 [Additional Counsel Continued on Next Page]

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UNITED STATES DISTRICT COURT  
DISTRICT OF NEVADA

16 WILLIAM A. RICHARDSON.

17 Plaintiff,

18 v.

19 OPPENHEIMER & CO., INC.;  
20 OPPENHEIMER HOLDINGS INC.;  
21 OPPENHEIMER ASSET  
22 MANAGEMENT, INC., ALBERT  
LOWENTHAL, ROBERT LOWENTHAL,  
GREG WHITE and MARK WEINBERG,

23 Defendants.

Case No. 2:11-cv-02078-GMN  
(PAL)

**STIPULATED VOLUNTARY  
DISMISSAL WITH PREJUDICE**

1 J. BRUCE ALVERSON, ESQ.  
2 Nevada Bar No. 1339  
3 KARIE N. WILSON, ESQ.  
4 Nevada Bar No. 7957  
5 **ALVERSON TAYLOR**  
6 **MORTENSON & SANDERS**  
7 7401 W. Charleston Boulevard  
8 Las Vegas, Nevada 89117  
9 Telephone: (702) 384-7000  
Facsimile: (702) 385-7000

10  
11 Attorneys for Defendants OPPENHEIMER &  
12 CO., INC.; OPPENHEIMER HOLDINGS INC.;  
13 OPPENHEIMER ASSET MANAGEMENT,  
14 INC., ALBERT LOWENTHAL, ROBERT  
15 LOWENTHAL, GREG WHITE and MARK  
WEINBERG

16 Pursuant to Federal Rule of Civil Procedure 41(a)(1), Plaintiff William A.  
17 Richardson (“Plaintiff”) and Defendants Oppenheimer & Co., Inc., Oppenheimer  
18 Holdings Inc., Oppenheimer Asset Management, Inc., Albert Lowenthal, Robert  
19 Lowenthal, Greg White and Mark Weinberg (“Defendants”), by and through their  
20 respective counsel of record, stipulate and request the Court to order as follows:

21 1. That the Court enter a final judgment dismissing this suit with  
22 prejudice, with the judgment not affecting the right of Plaintiff or Defendants to  
23 enforce the confidential Settlement Agreement between them or waiving any rights  
24 available to them under the Settlement Agreement, which the Parties agree has been  
25 duly executed and is fully enforceable; and

26 2. That each party shall bear their own respective costs and attorneys'  
27 fees.

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2 Dated: December 30, 2015  
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PETER A. STOKES  
**NORTON ROSE FULBRIGHT US LLP**

5 By: /s/ Peter A. Stokes  
Peter A. Stokes

6 Attorney for Defendants OPPENHEIMER &  
7 CO., INC.; OPPENHEIMER HOLDINGS INC.;  
OPPENHEIMER ASSET MANAGEMENT,  
8 INC., ALBERT LOWENTHAL, ROBERT  
LOWENTHAL, GREG WHITE and MARK  
WEINBERG

9  
10 Dated: December 30, 2015  
11

DAVID Z. CHESNOFF  
**CHESNOFF & SCHONFELD**

12 By: /z/ David Z. Chesnoff  
David Z. Chesnoff

13  
14 Attorney for Plaintiff WILLIAM A.  
RICHARDSON

1                   **CERTIFICATE OF SERVICE**

2                   I certify that I filed a copy of this document through the ECF system on December 30,  
3 2015, which caused electronic service on all counsel of record.

4                   /s/ Peter A. Stokes

5                   Peter A. Stokes

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